



HSBC Investment Funds (Hong Kong) Limited 滙豐投資基金（香港）有限公司
Client Information Form (Corporation) 客戶資料表格（公司）

A. CLIENT INFORMATION 客戶資料 ¹

(For office use only 內部專用) Account No: _____

Company name 公司名稱(English 英文): _____

Company name 公司名稱(Chinese 中文): _____

Date of Establishment 成立日期: _____ day 日 _____ month 月 _____ year 年

Country / region of registration 註冊國家/地區: _____

Source of fund 資金來源: _____

Nature of Account 帳戶性質: _____

Expected transaction frequencies per year 預期每年投資交易數目: _____

Business identity document 商業證明文件: Type 種類: _____

Number 號碼: _____

Details of contact persons 聯絡人資料: Name 姓名: _____ Title 職銜: _____ Telephone number 電話號碼: _____ Business e-mail address 商用電郵地址: _____

Mr / Ms* 先生/女士: _____

Mr / Ms* 先生/女士: _____

Business address (if different from the address in the application form) 業務地址(如與申請表格上的地址不同): _____

Does the company have any banking relationship with HSBC? 貴公司有否於滙豐銀行開設戶口? Yes 有(Please specify the main country/region 請列明主要地區): _____ No 沒有

Information of shareholders, directors and ultimate beneficial owners ^{1(d)} 股東、董事及實益擁有人資料 ^{1(d)} (Please provide a full list of all shareholders, directors and ultimate beneficial owners, including their respective ownership percentage. Please provide the information in separate sheet if the space is not adequate 請提供所有股東、董事及實益擁有人的完整列表，包括其各自擁有的股份的百分比。如篇幅不足，請另頁填寫):

Name 姓名:	Title 職銜:	Identity document number 身份證明文件號碼:	Nationality (Country/region) 國籍(國家/地區):	Ownership 擁有的股份(%):
Mr / Ms* 先生/女士 _____	_____	_____	_____	_____
Please select the role, can select more than one 請說明身份，可選擇多於一項: <input type="checkbox"/> Shareholder 股東 <input type="checkbox"/> Director 董事 <input type="checkbox"/> Ultimate beneficial owner 實益擁有人				
Mr / Ms* 先生/女士 _____	_____	_____	_____	_____
Please select the role, can select more than one 請說明身份，可選擇多於一項: <input type="checkbox"/> Shareholder 股東 <input type="checkbox"/> Director 董事 <input type="checkbox"/> Ultimate beneficial owner 實益擁有人				
Mr / Ms* 先生/女士 _____	_____	_____	_____	_____
Please select the role, can select more than one 請說明身份，可選擇多於一項: <input type="checkbox"/> Shareholder 股東 <input type="checkbox"/> Director 董事 <input type="checkbox"/> Ultimate beneficial owner 實益擁有人				
Mr / Ms* 先生/女士 _____	_____	_____	_____	_____
Please select the role, can select more than one 請說明身份，可選擇多於一項: <input type="checkbox"/> Shareholder 股東 <input type="checkbox"/> Director 董事 <input type="checkbox"/> Ultimate beneficial owner 實益擁有人				

B. INVESTMENT HISTORY, PREFERENCE ATTITUDE TOWARDS INVESTMENT RISK 投資經驗及投資風險取向

1. Have your company invested into stocks, bonds, currencies or other investment vehicles before?
貴公司是否有透過股票、債券、外幣或其它投資工具進行投資?
 Rarely 沒有或極少 Occasionally 間中有 Quite often 經常地
2. By how much does it represent the total liquid assets of your company?
投資數目佔貴公司流動資產多少?
 Around 30% 大約 30% Around 50% 大約 50% Around 70% 大約 70% 100%
3. Is your business willing to risk capital in pursuit of greater growth or higher income?
貴公司願意冒險資本風險以換取更大的增長或更高的回報潛力?
 Yes 願意 No 不願意
4. Generally, investing involves a trade-off between risk and return. It has been historically shown that investors who achieve high returns have experienced correspondingly high fluctuations and losses. Which of the following amount of capital loss is your business willing to accept to possibly achieve your expected returns within one year?
投資通常是風險與回報的取捨。獲得高回報的投資者往往承受的波動與損失風險較高。以下哪項描述最符合貴公司為達致預期 1 年內回報而願意承受損失的程度?
 My business is willing to accept minimal amount of capital loss (i.e. 0-5%) 貴公司願意接受輕微的資金損失(i.e. 0-5%)
 My business is willing to accept moderate capital loss (i.e. 6% - 15%) 貴公司願意接受中度的資金損失(i.e. 6% - 15%)
 My business is willing to accept high capital loss (i.e. >15%) 貴公司願意接受大額的資金損失 (i.e. >15%)
5. Has your company ever invested in any of the following before?
貴公司以往有否投資於以下各類工具?
 Time deposit 定期存款 Forex 外幣 Shares 股票 Futures & Options 期貨及期權
 Unit Trusts 單位信託基金 Bonds 債券 Property 地產
6. When will your company possibly be in need of this sum of money?
貴公司何時將會需要動用這筆資金?
 within 2 years 兩年之內 2 - 5 years 二至五年 5 years or above 五年或以上
7. Which of the following best describes your overall investment objective?
以下哪一項最能描述貴公司的投資目的?
 Capital preservation 資本保值
 A regular stream of stable income 穩定收入來源
 A combination of income and capital growth 收入及資本增長
 Achieve substantial long-term capital growth 長期實質的資本增長
 Achieve substantial short-term capital growth 短期實質的資本增長

C. APPOINTMENT OF AGENT 代理人之委任

We (the client stated in section A of this form above) have appointed 我們(本表格 A 部分所指的客戶)已委任:

(name of agent 代理人名稱)

as our agent to give instructions on our behalf provided that the agent shall be authorised to give all instructions including those of redemption but is not authorised to give instructions for payment of redemption proceeds other than to us. We authorise HSBC Investment Funds (Hong Kong) Limited ("HIFH") and all relevant parties to rely upon instructions from such agent given on our behalf, unless or until this authority is revoked by us in writing by giving not less than five Hong Kong business days' (excluding Saturday) prior notice to HIFH. HIFH and all relevant parties shall not be responsible for any act or omission of our agent. 作為我們的代理人代表我們發出所有指示(包括贖回指示),但代理人不得發出將贖回款項支付給我們以外人士的指示。我們授權滙豐投資基金(香港)有限公司(「滙豐投資」)及所有其他有關人士依據該代理人代表我們發出的指示行事,除非我們給予滙豐投資至少五個香港工作天(不包括星期六)的事前書面通知撤銷此項授權。滙豐投資及所有其他有關人士對我們的代理人之任何行為或遺漏不需負責。

Please be reminded that unit trusts should be undertaken as a medium to long-term investment. Investment involves risk. Please refer to the offering documents for more information. 客戶請緊記單位信託基金應被視為中至長線投資工具。投資附帶風險。詳情請參閱基金說明書。

Personal data provided by the investors, and details of transactions or dealings between the investors and HSBC Investment Funds (Hong Kong) Limited ("HIFH") will be used, stored, disclosed and transferred (in and outside Hong Kong) to such persons as HIFH considers necessary, including any member of the HSBC Group, for any purpose in connection with the services HIFH may provide to the investors, and/or in connection with matching, for whatever purpose with other personal data concerning the investors, and/or for the purpose of promoting, improving and furthering the provision of services by HIFH/other HSBC Group members to customers generally. The investors have the right to request access to and correction of any personal data or to request the personal data not to be used for direct marketing purposes. 滙豐投資基金(香港)有限公司(「滙豐投資」)在向投資者提供服務及/或核對投資者的其他個人資料時,及/或滙豐投資或滙豐集團其他成員公司在推廣、改善或加強其一般客戶服務時,可在香港及其他地區使用、儲存、透露投資者所提供的個人資料及投資者與滙豐投資之間進行的交易或買賣的詳情,及將有關資料轉交滙豐投資認為必要的人士,包括滙豐集團任何成員公司。投資者有權要求取得或更改任何個人資料或要求禁止將投資者的個人資料作直銷用途。

Signature 簽署:

Date 日期:

Note 備註:

1. Please submit together with this Form and the Application Form the following documents:

- (a) Business Registration Certificate, Certificate of Incorporation and Memorandum and Articles of Association
- (b) Resolution of the board of directors to open account and confer authority on those who will operate the account
- (c) List of authorised signatories with specimen signatures (please also provide the documents in (d) below)

All documents are to be certified by a bank, licensed financial adviser, solicitor or notary public designated by HSBC Investment Funds (Hong Kong) Limited. Additional supporting documents may be required if appropriate.

請在交回本表格及申請表格時附上下列文件:

- (a) 商業登記證、公司註冊證書及公司章程
- (b) 授權開戶及授予權力給操作戶口的人士的董事局決議案
- (c) 公司授權人的簽名式樣(請同時提供(d)項的證明文件)

所有文件必須由滙豐投資基金(香港)有限公司指定的銀行、註冊投資顧問、律師或公證人核正。如有需要,貴公司可能需要提供額外證明文件。

* Please delete as appropriate 請刪去不適用者。

Official Use Only

Compliance sign off: _____ **Name of GRB:** _____