

HSBC Unit Trusts 滙豐單位信託基金

Transaction Form (Form B) – For Existing Client 交易表格 (表格 B) – 供現有客戶

It is recommended that you read the offering document of the relevant Fund before completing this form. Please keep a copy for your records. Please write in block letters.
建議閣下在填寫本表格前應先閱讀有關的基金說明章程。請保留副本作記錄。請以英文正楷填寫。

1 Client's information 客戶資料

Holder ID 持有人編號： _____

Individuals 個人：				ID/Passport no. 身份證 / 護照號碼
Registered name 登記戶口名稱	Mr/Ms 先生 / 女士	Surname 姓	First name 名	
Joint registered name 聯名登記戶口名稱	Mr/Ms 先生 / 女士	Surname 姓	First name 名	ID/Passport no. 身份證 / 護照號碼
Telephone no. 電話	Day 日間	Night 晚間	Fax 傳真	
Corporation 公司				
Registered Name 登記戶口名稱				
Telephone no. 電話	Day 日間	Night 晚間	Fax 傳真	

2 Transaction type 交易項目

<input type="checkbox"/> Lump sum 定額投資	<input type="checkbox"/> Monthly Investment Plan 滙聚豐收投資計劃
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3 Subscription 認購

Fund name 基金名稱	Currency 貨幣	Investment amount 投資額
HSBC 滙豐	HKD / USD / GBP / EUR 港元 / 美元 / 英鎊 / 歐元	
HSBC 滙豐	HKD / USD / GBP / EUR 港元 / 美元 / 英鎊 / 歐元	
HSBC 滙豐	HKD / USD / GBP / EUR 港元 / 美元 / 英鎊 / 歐元	

4 Switching 轉換

From 由 Fund name 基金名稱	To 轉換至 Fund name 基金名稱	Unit / Amount to be switched 將予轉換之單位 / 金額
HSBC 滙豐	HSBC 滙豐	
HSBC 滙豐	HSBC 滙豐	
HSBC 滙豐	HSBC 滙豐	

5 Monthly Investment Plan 滙聚豐收投資計劃 (For "Amendment of monthly contribution" only 只供「更改每月供款」使用) (Please note that any change shall require at least 5 business days prior notice 請注意：任何改動必須於五個工作日前作出事先通知)

<input type="checkbox"/> Increasing / Decreasing contribution 增加 / 減少供款	<input type="checkbox"/> Redirecting future contributions 調配將來供款	<input type="checkbox"/> Suspension until further notice 停止執行直至另行通知	Change debit date: 更改付款日期：	<input type="checkbox"/> 1st day 首天	<input type="checkbox"/> 15th day 第十五天
Fund name 基金名稱	From 由	To 至			
HSBC 滙豐	HKD 港元	HKD 港元			
HSBC 滙豐	HKD 港元	HKD 港元			
HSBC 滙豐	HKD 港元	HKD 港元			

For office use only 本公司專用

Signature verified

Agent stamp

6 Disclosure 披露

HIFH offers a broad selection of Fund(s) from the HSBC Group, for different types of investors. HIFH offers HSBC Funds only. Where HIFH is the manager or investment adviser of the Fund, it acts as principal in offering such Funds to the investors. Where HIFH is not the manager or investment adviser of the Fund, it acts as an agent. Where required, HIFH assesses the suitability of the available HSBC Funds each time HIFH offers Fund(s) to us but HIFH does not undertake to monitor the suitability of our investments on an on-going basis. The characteristics of an investment may change over time, or our circumstances or requirements may change over time. HIFH is remunerated by way of commission paid by the manager of the Funds. The Fund(s) distributed by HIFH are provided by various issuers, including HIFH itself (when it is acting as the manager or investment adviser of the Fund(s)) and affiliates of HIFH.

HIFH為不同類型的投資者提供滙豐集團的多種基金選擇。HIFH僅提供滙豐基金。HIFH如作為基金經理或投資顧問，則擔任向投資者提供此類基金的主理人。HIFH如不是基金經理或投資顧問，則擔任代理人。如有需要，HIFH在每次向我們提供基金時評估現有滙豐基金的適宜程度，但不承諾持續監控我們投資的適宜程度。投資的特點可隨時間而改變，或我們的環境或要求可隨時間而改變。HIFH的報酬來自基金經理支付的佣金。HIFH分銷的基金由多家發行人提供，包括HIFH本身（當其擔任基金經理或投資顧問時）及HIFH的聯屬機構。

HIFH is not an independent intermediary, as (i) HIFH acts as distributor / manager / agent of the Fund(s). HIFH and its affiliates are entitled to receive commission and other fees from the fund house(s) / the Fund(s), including full or partial rebate of the initial charge (if any) up to the rate as stated in the latest offering document(s) (currently up to 5.5% of the offer price), the switching fee (subject to any cap specified in the latest offering document(s)), up to 100% of the funds' annual management fee as ongoing fee every year throughout the term of our investment and other fee (if any) charged on the relevant class of units or shares of the Fund(s), and (ii) for Fund(s) managed by HSBC Global Asset Management or other members of the HSBC Group, HIFH (being a distributor / agent of the Fund(s)) and the fund manager(s) are both members of the HSBC Group.

HIFH並非獨立中介，原因為(i)HIFH擔任基金的分銷商／經理／代理人。HIFH及其聯屬機構有權向基金公司／基金收取佣金及其他費用，包括首次收費（如有）的全額或部分回扣，其費率以最新發售文件所述為準（目前最高達發售價的5.5%）、轉換費（根據最新發售文件列明的任何上限）、在我們整段投資期限內每年的持續費用，最高達基金年度管理費的100%及就基金的相關類別單位或份額收取的其他費用（如有），及(ii)如屬由滙豐環球投資管理或滙豐集團其他成員管理的基金，HIFH（作為基金的分銷商／代理人）及基金經理均屬滙豐集團的成員。

HIFH may offer discounts on the fees it charges based on factors including investment amount, client nature etc., at the sole discretion of HIFH. Please refer to the latest offering document(s) of the relevant Fund(s) for further details of such and other fees and expenses. HIFH will notify the Applicant if there is a material change to its services, fees or its corporate and licensing information provided in the box at the end of this Account Opening Form.

HIFH可根據投資金額、客戶性質等因素對收取的費用提供折扣，由HIFH全權酌情釐定。有關該等及其他費用及開支的其他詳情，請參閱有關基金的最新發售文件。如此開戶表格末尾框中規定的服務、費用或其公司及授權資料發生重大變動，HIFH將通知申請人。

7 Signature(s) 簽署

I/We confirm that I/we have read the latest offering document(s) and financial report(s) relating to the Fund(s) subscribed to and I/we agree to be bound by the terms and conditions contained therein (as may hereafter be amended from time to time). I/We acknowledge that the decision to invest is based on my/our own judgement without relying on any materials provided or advice given by any person, and I am/we are fully aware of the nature of the Fund(s) subscribed and the risks associated with the Fund(s).

本人／吾等確認已詳閱有關本人／吾等所認購的基金的最新說明章程及財務報告，並同意受該等條款的約束（各文件概以可不時更改的最新版本為準）。本人／吾等明白購買基金之決定乃根據本人／吾等之判斷而非基於宣傳資料或第三者推薦，本人／吾等確認本人／吾等充分了解本人／吾等認購的基金之性質及附帶風險。

Registered name
登記戶口名稱

Joint registered name
聯名登記戶口名稱

Date
日期

Day 日 Month 月 Year 年

8 Change of A/C Information 更改戶口資料

Holder ID.

持有人編號

Registered name(s)

登記戶口名稱

ID / Passport / Identity document no.

身份證 / 護照 / 註冊文件號碼

New address

新地址

Telephone no.

電話號碼

Day

日間

Night

晚間

Fax

傳真

Statement currency:

結單貨幣

HKD

港元

USD

美元

Statement language:

結單語言

Chinese

中文

English

英文

Signature(s)

簽署

Registered name

登記戶口名稱

Joint registered name

聯名登記戶口名稱

Date

日期

Day 日 Month 月 Year 年

Please send an original signed copy of this Amendment Form to HSBC Investment Funds (Hong Kong) Limited ('HIFH'), at the address shown below (or if the investors have ticked the fax authorisation section on the application form, the investors can simply fax the form to (852) 3409 2667). Unless the investors have specifically instructed HIFH to act on the instructions of only one joint account holder, this instruction must be signed by all joint account holders.

請將已填妥及簽署的更改表格正本寄回滙豐投資基金（香港）有限公司（「本公司」），郵寄地址請參閱本頁下方。若投資者已授權本公司按照傳真指示而行事，則只須將表格傳真至本公司，傳真號碼（852）3409 2667。除非投資者已授權本公司可依據其中一位聯名戶口持有人之指示行事，否則本表格必須由所有聯名戶口持有人簽署。

Signature verified	Input	Check	Processing date

Notes:

- 1 Unless the investors have specifically instructed HSBC Investment Funds (Hong Kong) Limited ('HIFH') to act on the instructions of only one joint account holder, this form must be signed by all joint account holders, and in the case of a body corporate by the authorised signatory(ies).
- 2 Risk of communication and remittance:
All communications and remittances sent to HIFH by the investors or by the nominee of the investors or vice versa are sent at the risk of the investors. HIFH shall have no responsibility for any loss arising from the inaccuracy or failure of any communication, whether by post or fax, unless such loss results from the wilful default or negligence of HIFH.
- 3 Client Money:
Client monies/assets received or held by HIFH overseas are subject to the applicable laws and regulations of the relevant overseas jurisdiction which may be different from the Hong Kong Securities and Futures Ordinance and the rules made thereunder. Consequently, such monies/assets may not enjoy the same protection as that conferred on client monies/assets received or held in Hong Kong.

附註:

- 1 除非閣下已授權滙豐投資基金(香港)有限公司(「本公司」)可依據其中一位聯名戶口持有之指示行事,否則本表格必須由所有聯名戶口持有人簽署,如屬公司戶口,則須由獲正式授權之人士簽署。
- 2 通訊及滙款的風險: 投資者或投資者之代理與本公司之間如有任何往來通訊及滙款,一切風險概由投資者承擔。除非本公司蓄意違約或疏忽,否則本公司概不負責任何因郵寄或傳真通訊失實或失敗所招致之損失。
- 3 客戶款項: 本公司於境外接收或持有之客戶款項/資產,受制於有關海外司法管轄區之適用法律及規例,而或會與香港證券及期貨條例及據此頒佈之規則有所不同。故此,該等款項/資產未必可以享有於香港接收或持有之客戶款項/資產獲賦予之相同保障。

HSBC Investment Funds (Hong Kong) Limited
Level 22, HSBC Main Building,
1 Queen's Road Central, Hong Kong
Telephone : (852) 2284 1111
Customer Service Hotline: (852) 2284 1118
Facsimile : (852) 3409 2667

滙豐投資基金(香港)有限公司
香港皇后大道中1號
滙豐總行大廈22樓
電話 : (852) 2284 1111
客戶服務熱線 : (852) 2284 1118
傳真 : (852) 3409 2667